

Express No:. ED 162 480 287 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Yang et al.

Serial No.: 10/768,886

Art Unit: 1638

Filed: January 31, 2004

Examiner: Vinod Kumar

For: Mitogen-Activated Protein Kinase

Atty .

Atty Docket No.: UAF-03-14

And Methods of Use to Enhance Biotic And Abiotic Stress Tolerance in Plants

CERTIFICATE OF EXPRESS MAILING

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Sir:

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Type of Documents:

RCE Cover Sheet Advisory Action

Fee Sheet

Date: February 7, 2007

Respectfully submitted,

(Pag No.)

(Reg No.)

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JNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 FEB **Ó 7** 2007 APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/768,886 01/31/2004 Yinong Yang UAF-03-14 8057 34607 01/12/2007 ANGELA FOSTER, PHD, ESQ. EXAMINER 2906 BIRCHWOOD COURT NORTH BRUNSWICK, NJ 08902-3933 KUMAR, VINOD ART UNIT . PAPER NUMBER 1638 MAIL DATE **DELIVERY MODE** 01/12/2007 PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

| Advisory Action Before the Filing of an Appeal Brief | Application No. | Applicant(s) | |
|--|---|---|--|
| | 10/768,886 | YANG ET AL. | |
| ER O I LOUI WI | Examiner | Art Unit | _ |
| *** | Vinod Kumar | 1638 | |
| The MAILING DATE of this communication appointment of the Price of the Communication appointment of | ears on the cover sheet with the | 0.000 | |
| 1 N The reply was first a | S APPLICATION IN CONDITION | e correspondence add | lress |
| 1. A The reply was filed after a final rejection, but prior to or or this application, applicant must timely file one of the follow places the application in condition for allowance; (2) a Not a Request for Continued Examination (RCE) in compliant time periods: a) The period for reply expires 3 months from the mailing date b) The period for reply expires on: (1) the mailing date for the followance in the period for reply expires on: (1) the mailing date for the followance in the followance i | ce with 37 CFR 1.114. The reply | n compliance with 37 Cl must be filed within one | nce, which FR 41.31; or (3) of the following |
| b) The period for reply expires on: (1) the mailing date of this A | dvisory Action or (2) the data | | |
| b) The period for reply expires on: (1) the mailing date of this A no event, however, will the statutory period for reply expire is Examiner Note: If box 1 is checked, check either box (a) or (TWO MONTHS OF THE FINAL REJECTION. See MPEP 70 Extensions of time may be obtained under 37 CFR 1.136(a). The date have been filed is the date for purposes of determining the period of extensions of time may be obtained under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the set forth in (b) above, if checked. Any reply received by the Office later may reduce any earned patent term adjustment. See 37 CFR 1.704(b). | (b). ONLY CHECK BOX (b) WHEN TO 06.07(f). on which the petition under 37 CFR 1 ension and the corresponding | HE FIRST REPLY WAS FI | on. LED WITHIN e extension fee |
| The Notice of Appeal was filed on A brief in complifiling the Notice of Appeal (37 CFR 41.37(a)), or any extension a Notice of Appeal has been filed, any reply must be filed a MENDMENTS The proposed amendment(s) filed after a final rejection, be (a) They raise new issues that would require further con (b) They raise the issue of new matter (see NOTE below (c) They are not deemed to place the application in better appeal; and/or (d) They prepare additional to the contract of the proposed and the place the application in better appeal. | within the time period set forth in ut prior to the date of filing a brief sideration and/or search (see NO | 37 CFR 41.37(a). f, will <u>not</u> be entered bed | appeal. Since |
| NOTE: See Continuation Sheet. (See 37 CFR 1.116) The amendments are not in compliance with 37 CFR 1.121 Applicant's reply has overcome the following spin stire (1): | orresponding number of finally rej 3 and 41.33(a)). . See attached Notice of Non-Co | ected claims. mpliant Amendment (P | TOL -324) |
| I inewly proposed or amended claim(s) | wahle if submitted in a second | • | |
| | | timely filed amendment I be entered and an exp | canceling the |
| For purposes of appeal, the proposed amendment(s): a) \(\subseteq \) how the new or amended claims would be rejected is provid The status of the claim(s) is (or will be) as follows: Claim(s) allowed: \(\frac{None}{n} \). Claim(s) objected to: Claim(s) rejected: \(\frac{6-10}{26-28} \), \(\frac{31-32}{35-36} \), \(\frac{38}{38} \), \(\frac{42}{42} \) and Claim(s) withdrawn from a considering the content of the cont | | · | lanation of |
| Claim(s) allowed: None. Claim(s) objected to: Claim(s) rejected: 6-10, 26-28, 31-32, 35-36, 38, 42, 44 and Claim(s) withdrawn from consideration: 11-25,29,30,33,34,3 FFIDAVIT OR OTHER EVIDENCE | <u>51-55 (claims at final rejection)</u> . 7,39-41,43 and 45-50 | | |
| Claim(s) allowed: None. Claim(s) objected to: Claim(s) rejected: 6-10, 26-28, 31-32, 35-36, 38, 42, 44 and Claim(s) withdrawn from consideration: 11-25,29,30,33,34,3 FIDAVIT OR OTHER EVIDENCE The affidavit or other evidence filed after a final action, but be because applicant failed to provide a showing of good and so was not earlier presented. See 37 CFR 1.116(e). | <u>51-55 (claims at final rejection)</u> . 7,39-41,43 and 45-50 efore or on the date of filing a Not ufficient reasons why the affidavit | tice of Appeal will <u>not</u> be or other evidence is ne | e entered cessary and |
| Claim(s) allowed: None. Claim(s) objected to: Claim(s) rejected: 6-10, 26-28, 31-32, 35-36, 38, 42, 44 and Claim(s) withdrawn from consideration: 11-25,29,30,33,34,3 FIDAVIT OR OTHER EVIDENCE The affidavit or other evidence filed after a final action, but be because applicant failed to provide a showing of good and so was not earlier presented. See 37 CFR 1.116(e). The affidavit or other evidence filed after the date of filing a New entered because the affidavit or other evidence failed to over showing a good and sufficient research. | 51-55 (claims at final rejection). 7,39-41,43 and 45-50. efore or on the date of filing a North afficient reasons why the affidavit of the decome all rejections under appeal | tice of Appeal will <u>not</u> be or other evidence is ne late of filing a brief, will <u>and/or appeal to the test of</u> | e entered cessary and not be |
| Claim(s) allowed: None. Claim(s) objected to: Claim(s) rejected: 6-10, 26-28, 31-32, 35-36, 38, 42, 44 and Claim(s) withdrawn from consideration: 11-25,29,30,33,34,3 FIDAVIT OR OTHER EVIDENCE The affidavit or other evidence filed after a final action, but be because applicant failed to provide a showing of good and so was not earlier presented. See 37 CFR 1.116(e). The affidavit or other evidence filed after the date of filing a New terms of the set of the | 51-55 (claims at final rejection). 7,39-41,43 and 45-50. efore or on the date of filing a Not ufficient reasons why the affidavit lotice of Appeal, but prior to the docome all rejections under appeal of was not earlier presented. See the status of the claims after entities. | tice of Appeal will <u>not</u> be or other evidence is ne late of filing a brief, will <u>i</u> and/or appellant fails to a 37 CFR 41.33(d)(1). | e entered cessary and not be provide a |

PHUONG T. BUI PRIMARY EXAMINER

Continuation Sheet (PTO-303)

Application No. 10/768,886

Continuation of 3. NOTE: The new limitations in claims 6-8, 27-28, 31-32, 36, 42, 51, 52, and 53 were not previously presented and would

Continuation of 11. does NOT place the application in condition for allowance because: In the paper filed on December 11, 2006, Applicants argue that claims 6-8, 27-28, 36, and 51-53 have been amended to recite the phrase "wherein SEQ ID NO: 1 is expressed under abiotic stress conditions of 4 oC and "wherein SEQ ID NO: 1 is expressed under abiotic stress conditions of 4 oC in the host cell". Applicants further argue that because of this amendment the claimed invention is not anticipated by Wen et al. or obvious under U.S.C.

Applicant's arguments were fully considered but were not found persusaive. Office maintains that ammended claims do not overcome rejections of record stated in the previous Office actions. Furthermore, it is noted that the amended claims raise new issues under 35 U.S.C. 112, 1st and 2nd paragraphs, 35 U.S.C. 102(a) and 35 U.S.C. 103(a) which would require further search and/or consideration. In response to new limitations to the amended claims as argued by the Applicants, it is important to note that property of expression of SEQ ID NO: 1 encoding SEQ ID NO: 2 under abiotic stress condition of 4 oC is inherent to the nucleotide sequence taught by Wen et al. Accordingly, Office maintains that the amended claims filed December 11, 2006 do not overcome the rejections stated in the Office action